



AIG Retirement Services

Founded in 1955, AIG Retirement Services provides financial planning and retirement services for nearly two million people in public K-12, higher education, healthcare, government and other not-for-profit institutions.

Contact Information

- **Phone:**
800-428-2542
- **Enrollment Website:**
www.aigrs.com

Highlights

- Product Types: **Mutual Funds, Fixed/ Variable Annuities**
- 403(b) Assets Under Management: **>\$10B**
- AIG has **agreed** to offer and sell only products that met former Teacher Retirement System of Texas (TRS) Requirements as of 9/1/2019.
- Licensed by the Texas Department of Insurance (TDI)
- Enrollment Options: **Online, Mail, Phone, Representative**

Product Information

- Mutual Funds- Platform Access

Management Fee: Varies by Mutual Fund

Loans: Yes

Loan Fixed Dollar Fee: \$50 set-up fee, annual \$30 maintenance fee is assessed to the participant for each active loan that is repaid via payroll deduction (or \$50 if repaid via ACH debit from their bank account).

Loan Interest Rate: Prime +1%

Who keeps the interest? **Client**

- Fixed Annuity

Annual Fee: No

Surrender Charges/ Withdrawal Fees: 3-5%

Surrender Period: 5-7 Years

Loans: Yes

Net Loan Interest Rate: 4-6%

Fixed Interest Rate: 1.30%

Guaranteed Interest Rate: 1%

- Variable Annuity

Annual Fee: Yes

Administrative Fee: \$15/ per account

Mortality and Risk Expense Fee:

0.75 -1.25%

Portfolio Operating Expense: 0.12 -1.20%

Surrender Charges/ Withdrawal Fees:

3-5%

Surrender Period: 5-7 Years

Loans: Yes

Net Loan Interest Rate: 4-6%

For more information, visit www.tasbo.org.

Note: The information in this document is based off a self-reported questionnaire submitted by the vendor.

Data as of 12/30/2020



Ameriprise Financial

Ameriprise Financial, Inc. is a diversified financial services company and bank holding company incorporated in Delaware and headquartered in Minneapolis, Minnesota. It provides financial planning products and services, including wealth management, asset management, insurance, annuities, and estate planning.

Contact Information

- **Phone:**
800-862-7919
- **Enrollment Website:**
www.ameriprise.com

Highlights

- Product Types: **Mutual Funds/ Variable Annuities**
- 403(b) Assets Under Management: **\$4b-6B**
- Ameriprise has **agreed** to offer and sell only products that met former Teacher Retirement System of Texas (TRS) Requirements as of 9/1/2019.
- Licensed by the Texas Department of Insurance (TDI)
- Enrollment Options: **Representative**

Product Information

- Mutual Funds- Direct Access

Management Fee: None

Distribution and/or service 12b-1 Expense: 0.25%

Other Fees: \$50 Custodial Fee

Loans: Not Allowed

- Variable Annuity

Annual Fee: Yes

Administrative Fee: \$50 - If balance is over \$50,000, this fee is waived

Mortality and Risk Expense Fee: 0.95%

Portfolio Operating Expense: 1.10%

Surrender Charges/ Withdrawal Fees: 7-10%

Surrender Period: 7-10 Years

Loans: Yes

Net Loan Interest Rate: 0-2%

For more information, visit www.tasbo.org.



Aspire

Aspire’s open-investment platform was designed for the internet- not adapted to it. Using the most advanced technologies, the platform weaves the best attributes of industry technology into the fabric of its system, creating a next generation retirement plan platform that blends reliability, simplicity, and integrity.

Contact Information

- **Phone:**
866-634-5873
- **Enrollment Website:**
www.aspireonline.com

Highlights

- Product Types: **Mutual Funds, Fixed Annuities**
- 403(b) Assets Under Management: **\$4B-6B**
- Aspire has **agreed** to offer and sell only products that met former Teacher Retirement System of Texas (TRS) Requirements as of 9/1/2019.
- Enrollment Options: **Online, Mail, Representative**

Product Information

- Mutual Funds- Direct Access

Management Fee: 0.15%

Other Fees: \$40/per participant annual fee

Loans: Yes

Loan Fixed Dollar Fee: \$100

Loan Interest Rate: Prime +1%

Who keeps the interest? **Client**

Other Transaction Fees: TPA Transfer or Exchange Fee, Wire Fee

- Mutual Funds- Platform Access

Management Fee: 0.15%

Other Fees: \$40/per participant annual fee

Loans: Yes

Loan Fixed Dollar Fee: \$100

Loan Interest Rate: Prime +1%

Who keeps the interest? **Client**

Other Transaction Fees: TPA Transfer or Exchange Fee, Wire Fee

- Fixed Annuity

Annual Fee: N/A

Surrender Charges/ Withdrawal Fees: N/A

Surrender Period: 5-7 Years

Loans: Yes

Loan Fixed Dollar Fee: \$100

Net Loan Interest Rate: 0-2%

Fixed Current Interest Rate: 2.5%

Guaranteed Interest Rate: 1%

Other Transaction Fees: TPA Transfer or Exchange Fee, Wire Fee

For more information, visit www.tasbo.org.

Note: The information in this document is based off a self-reported questionnaire submitted by the vendor.

Data as of 11/4/2020



Equitable Financial Life Insurance Company

Equitable Financial Life Insurance Company of America offers life insurance, employee benefit, retirement planning, and other investment products serving customers worldwide. The Equitable was founded in 1859. In 1991 AXA acquired majority control of The Equitable. In 2004 the company officially changed its name.

Contact Information

- **Phone:**
216-978-4489
- **Enrollment Website:**
www.equitable.com/educators

Highlights

- Product Types: **Mutual Funds, Fixed Annuities**
- 403(b) Assets Under Management: **>\$10B**
- Equitable has **agreed** to offer and sell only products that met former Teacher Retirement System of Texas (TRS) Requirements as of 9/1/2019.
- Licensed by Texas Department of Insurance (TDI)
- Enrollment Options: **Online, Mail, Phone, Representative Only**

Product Information

- Mutual Funds

Maximum Asset Based Fee: 0.045% - 0.69%

Maximum Fixed Dollar Fee: \$15 - \$50

Loans: Yes

Maximum Loan Application Fee: \$50

Other Fees Apply

- Variable Annuity

Annual Fee: Yes

Administration Fee: \$30 or 2% of the account value plus any amounts previously withdrawn during the contract year (whichever is lower).

Waived if account value is \$25,000 or more. This may be raised to a maximum of \$65.

Mortality and Risk Expense Fee: 1.20%

Portfolio Operating Expense: 0.58% – 2.37%

Surrender Fee: 5 – 7%

Surrender Period: 7-10 Years

Loans: Yes

Net Loan Interest Rate: 4 -6%

Other Transaction Fees: TPA Transfer or Exchange Fee, Wire, Mailing

For more information, visit www.tasbo.org.



Franklin Templeton

Franklin Templeton is a global leader in asset management with more than seven decades of experience with over \$20 Billion in total assets and a team of over 11,000 employees.

Contact Information

- **Phone:**
800-527-2020
- **Enrollment Website:**
www.franklintempleton.com

Highlights

- Product Types: **Mutual Funds Platform**
- 403(b) Assets Under Management: **\$2-4B**
- Franklin Templeton has **agreed** to offer and sell only products that met former Teacher Retirement System of Texas (TRS) Requirements as of 9/1/2019.
- Enrollment Options: **Online (via Email), Mail, Fax**

Product Information

- Mutual Funds- Direct Access

Management Fees: 0.15%-1.04%

Distribution and/or service 12b-1 Expenses: 0.25%-1.00%

Other Fees: 0%-5.50%

Acquired Fund Fees & Expenses: 0.01-0.27%

Loans: No

For more information, visit www.tasbo.org.

Note: The information in this document is based off a self-reported questionnaire submitted by the vendor.

Data as of 8/30/2021

GWN Securities, Inc.

GWN Securities Inc. is a nationally recognized Broker/Dealer and a member firm of FINRA that trains, supervises and supports Independent Professional Financial Advisors. GWN offers a client-driven TSA/ 403(b) Retirement Sector platform for the very best in Retirement Plans and more.

Contact Information

- **Phone:**
561-472-2777
- **Enrollment Website:**
www.gwnsecurities.com

Highlights

- Product Types: **Mutual Funds, Fixed Annuities**
- 403(b) Assets Under Management: **>\$10B**
- GWN has **agreed** to offer and sell only products that met former Teacher Retirement System of Texas (TRS) Requirements as of 9/1/2019.
- Licensed by Texas Department of Insurance (TDI)
- Enrollment Options: **Online, Representative**

Product Information

- Mutual Funds

This company has chosen not to include information regarding their Mutual Fund offerings.

- Fixed Annuity

Share Class: A, Institutional

Management Fee: Variable, but capped at 1.5%

Other Fees & Expenses: All A shares are subject to \$35 custodial fees

Loans: Yes

Net Loan Interest Rate: 5%

Who Keeps the Interest? Client

For more information, visit www.tasbo.org.



Horace Mann

Two teachers in Springfield, Illinois, started Horace Mann in 1945 to offer affordable auto insurance to educators. That mission has broadened significantly over the last 75 years. Today, Horace Mann and its affiliates serve nearly one million customers- teachers, administrators, school employees and their families with multiple offerings.

Contact Information

- **Phone:**
800-999-1030
- **Enrollment Website:**
www.horacemann.com/retirementadvantage

Highlights

- Product Types: **Mutual Funds, Fixed/Indexed/Variable Annuities**
- 403(b) Assets Under Management: **\$6B-\$8B**
- Horace Mann has **agreed** to offer and sell only products that met former Teacher Retirement System of Texas (TRS) Requirements as of 9/1/2019.
- Licensed by Texas Department of Insurance (TDI)
- Enrollment Options: **Online, Phone, Representative**

Product Information

See Page 2 for Product Information

For more information, visit www.tasbo.org.

Note: The information in this document is based off a self-reported questionnaire submitted by the vendor.

Data as of 12/15/2020



Product Information

- Mutual Funds- Platform Access

Share Class: Institutional

Management Fee: Max 1.25% annual asset based fee, \$35 Annual Maintenance Fee

Other Fees and Expenses: \$20 Distribution Fee; \$50 QDRO Processing Fee

Loans: Yes

Loan Fixed Dollar Fee: \$50 Loan Origination and \$50 Annual Fee

Loan Interest Rate: 4.10%

Who Keeps the Interest? Client

Other Transaction Fees: Wire Fee

- Indexed Annuity

Annual Fees: N/A

Surrender/Withdrawal Fees: N/A

Loans: Yes

Loan Fixed Dollar Fee: \$0

Net Loan Interest Rate: 1 – 2.4%

Fixed Current Interest Rate: 1.40%

Guaranteed Rate: 1%

Other Transaction Fees: Wire Fee

- Fixed Annuity

Annual Fees: N/A

Surrender/Withdrawal Fees: N/A

Loans: Yes

Loan Fixed Dollar Fee: \$0

Net Loan Interest Rate: 1 – 2.4%

Fixed Current Interest Rate: 1.10 – 1.75%

Guaranteed Rate: 1%

Other Transaction Fees: Wire Fee

- Variable Annuity

Annual Fees: Yes

Administrative Fee: \$35 Annual Maintenance Fee

Mortality and Rise Expense Fee: 1.25%

Portfolio Operating Expense: 0.04-1.22%

Loans: Yes

Loan Fixed Dollar Fee: \$0

Net Loan Interest Rate: 1 – 2.4%

Other Transaction Fees: Wire Fee

For more information, visit www.tasbo.org.

Note: The information in this document is based off a self-reported questionnaire submitted by the vendor.

Lincoln Investment

With over 50 years of proven industry leadership and experience in delivering investment strategies, Lincoln Investment is a leading broker-dealer. Lincoln's network has grown to over serve the diverse financial needs of over 355,000 clients representing over \$46.0 billion in assets.

Contact Information

- **Phone:**
800-242-1421
- **Enrollment Website:**
www.lincolninvestment.com

Highlights

- Product Types: **Mutual Funds**
- 403(b) Assets Under Management:
\$8B- \$10B
- Lincoln has **agreed** to offer and sell only products that met former Teacher Retirement System of Texas (TRS) Requirements as of 9/1/2019.
- Licensed by the Texas Department of Insurance (TDI)
- Enrollment Options: **Mail, Phone, Representative**

Product Information

- Mutual Funds- Platform Access

Share Class: A/B/C

Management Fee: Program fee of 1.33%, Optional asset management fee of 0.30%

Distribution/ 12b-1 Expenses: \$0 (they are returned to the participant)

Other Fees and Expenses: QDRO Processing Fee \$100, Quarterly Custodial Fee \$16.25, and Termination Fee \$60

Acquired Fund Fees and Expenses: Average Expense Ratio: 0.03-2.01%

Loans: Yes

Loan Fixed Dollar Fee: \$60

Loan Interest Rate: Prime +2%

Who keeps the interest? **Client**

Other Transaction or Exchange Fees: Wire, Mailing

For more information, visit www.tasbo.org.

Metropolitan Life Insurance Company

MetLife, Inc. is a leading global provider of insurance, annuities and employee benefit programs. Through its subsidiaries and affiliates, MetLife holds leading market positions in the United States, Japan, Latin America, Asia, Europe, the Middle East and Africa.

Contact Information

- **Phone:**
908-253-1504
- **Enrollment Website:**
www.metlife.com/enrollnow

Highlights

- Product Types: **Variable Annuities**
- 403(b) Assets Under Management:
> \$10B
- MetLife has **agreed** to offer and sell only products that met former Teacher Retirement System of Texas (TRS) Requirements as of 9/1/2019.
- Licensed by the Texas Department of Insurance (TDI)
- Enrollment Options: **Online, Mail, Phone, Representative**

Product Information

- Variable Annuity

Administrative Fee: \$30 Annually. Fee is waived for account balances over \$25,000 or if purchase payments exceed \$2000 in the past 12 months

Mortality and Risk Expense Fee: 1.15% for B Class

Surrender Charges or Withdrawal Fees: 7-10%

Surrender Period: During Contract Year 1: 9%; Year 2: 9%; Year 3: 8%; Year 4: 7%; Year 5: 6%; Year 6: 5%; Year 7: 4%; Year 8: 3%; Year 9: 2%; Year 10: 1%; Year 11 and thereafter: 0%.

Loans: Yes

Loan Fixed Dollar Fee: \$75 Loan Initiation, \$50 maintenance fee per loan outstanding

Net Loan Interest Rate: 0 – 2%

For more information, visit www.tasbo.org.



Modern Woodmen of America

Modern Woodmen of America is a member-owned fraternal financial services organization. Together with more than 740,000 members, Modern Woodmen has been touching lives and securing futures since 1883.

Contact Information

- **Phone:**
800-447-9811
- **Enrollment Website:**
www.modern-woodmen.org

Highlights

- Product Types: **Variable/Fixed Annuities**
- 403(b) Assets Under Management: **<\$2B**
- Modern Woodmen has **agreed** to offer and sell only products that met former Teacher Retirement System of Texas (TRS) Requirements as of 9/1/2019.
- Licensed by the Texas Department of Insurance (TDI)
- Enrollment Options: **Representative Only**

Product Information

- Fixed Annuity

Surrender Charges or Withdrawal Fees:

7-10%

Surrender Period: 7-10 Years

Loans: No

Fixed Current Interest Rate: 1%

Guaranteed Interest Rate: 1%

Other Transaction Fees: Wire Fee

- Variable Annuity

Administrative Fee: \$30 (Fee waived for balances greater than or equal to \$50,000)

Mortality and Risk Expense Fee: 1.40%

Portfolio Operating Expense: 0.10-1.21%; Average is 0.70%

Surrender Charges or Withdrawal Fee: 7-10%

Surrender Period: 7-10 Years

Loans: No

Other Transaction Fees: Wire Fee

For more information, visit www.tasbo.org.

Note: The information in this document is based off a self-reported questionnaire submitted by the vendor.

Data as of 2/11/2020



Life Insurance Company of the SW; National Life Group

National Life Group offers life insurance, annuity, and investment products to help individuals, families, and businesses pursue their financial goals.

Contact Information

- **Phone:**
214-722-9028
- **Enrollment Website:**
www.nationallife.com

Highlights

- Product Types: **Mutual Funds, Fixed/Indexed Annuities**
- 403(b) Assets Under Management: **>\$10B**
- National Life has **agreed** to offer and sell only products that met former Teacher Retirement System of Texas (TRS) Requirements as of 9/1/2019.
- Licensed by the Texas Department of Insurance (TDI)
- Enrollment Options: **Online, Mail, Phone, Representative**

Product Information

- | | | |
|---|--|--|
| <ul style="list-style-type: none"> • Mutual Funds- Platform Access Management Fee: 0.05% Distribution/ 12b-1 Expenses: 1% Loans: Yes Loan Fixed Dollar Fee: \$100 Loan Interest Rate: Variable Who keeps the interest? Company | <ul style="list-style-type: none"> • Fixed Annuity Annual Fee: GLIR rides are 1% Surrender Charges/ Withdrawal Fees:
7-10% Surrender Period: 7-10 Years Loans: Yes Net Loan Interest Rate: Variable Fixed Current Interest Rate: 1% Guaranteed Interest Rate: 0.00%
Floor | <ul style="list-style-type: none"> • Indexed Annuity Annual Fee: GLIR rides are 1% Surrender Charges/ Withdrawal Fees:
7-10% Surrender Period: 7-10 Years Loans: Yes Net Loan Interest Rate: Variable Fixed Current Interest Rate: 1% Guaranteed Interest Rate: Varies by contract based on indices chosen by participant |
|---|--|--|

For more information, visit www.tasbo.org.

Note: The information in this document is based off a self-reported questionnaire submitted by the vendor.

Data as of 12/15/2020



New York Life Insurance and Annuity Corporation

With over \$300 billion in assets under management, New York Life Investment Management and its network of independent boutiques offer a broad array of investment solutions for pensions, endowments, advisors, and individuals.

Contact Information

- **Phone:**
800-225-5695
- **Enrollment Website:**
www.newyorklife.com

Highlights

- Product Types: **Variable Annuities**
- 403(b) Assets Under Management: **<\$2B**
- New York Life has **agreed** to offer and sell only products that met former Teacher Retirement System of Texas (TRS) Requirements as of 9/1/2019.
- Licensed by the Texas Department of Insurance (TDI)
- Enrollment Options: **Representative Only**

Product Information

- Variable Annuity
 - Administrative Fee:** \$30 (Fee waived for balances greater than or equal to \$50,000)
 - Mortality and Risk Expense Fee:** 1.30-1.40%
 - Portfolio Operating Expense:** 0.28-1.87%
 - Surrender Changes or Withdrawal Fee:** 7-10%
 - Surrender Period:** Year over Year: 7%, 7%, 7%, 6%, 5%, 4%, 3%, 2%, 1%, 0%
 - Loans:** Yes
 - Loan Fixed Dollar Fee:** \$25
 - Net Loan Interest Rate:** 0-2%
 - Other Transaction Fees:** TPA Transfer or Exchange Fee
- *Transfer fee may apply on excess of 12 transfers a year

For more information, visit www.tasbo.org.



PenServ Plan Services, Inc.

PenServ is a full service retirement and benefits company, offering superior consulting and compliance services to the retirement and benefits industry. In an ever-changing legal and regulatory landscape, PenServ has the expertise and technology to successfully meet the diverse needs of clients.

Contact Information

- **Phone:**
803-354-5084
- **Enrollment Website:**
www.penserv.com

Highlights

- Product Types: **Mutual Funds Platform**
- 403(b) Assets Under Management: **\$4B-\$6B**
- PenServ has **agreed** to offer and sell only products that met former Teacher Retirement System of Texas (TRS) Requirements as of 9/1/2019.
- Licensed by the Texas Department of Insurance (TDI)
- Enrollment Options: **Online, Mail, Phone**

Product Information

- Mutual Funds- Platform Access

Share Class: Institutional

Management Fee: 0.20% of Average Account Balance

Distribution and/or service 12b-1 Expenses: \$50 on Distributions

Other Fees and Expenses: Investment Fiduciary: 0.10%, Advisor Servicing (Optional): Variable, Annual Account Administration: \$50

Loans: Yes

Loan Fixed Dollar Fee: Loan Generation: \$70; Annual Loan Administration: \$60

Loan Interest Rate: Prime +2%

Who Keeps the Interest? **Client**

Other Transaction Fees: TPA Transfer or Exchange Fee, Wire Fee

For more information, visit www.tasbo.org.



Pentegra Trust Company

Pentegra offers a comprehensive array of qualified retirement plan solutions, fiduciary and consulting solutions. Pentegra focuses in on their heritage as a fiduciary as a guide to all their solutions as they have built a 75 year legacy of fiduciary expertise and oversight.

Contact Information

- **Phone:**
914-821-9580
- **Enrollment Website:**
www.pentegra.com

Highlights

- Product Types: **Mutual Funds Platform**
- 403(b) Assets Under Management: **<\$2B**
- Pentegra has **agreed** to offer and sell only products that met former Teacher Retirement System of Texas (TRS) Requirements as of 9/1/2019.
- Licensed by the Texas Department of Insurance (TDI)
- Enrollment Options: **Mail, Email, Fax**

Product Information

- Mutual Funds- Platform Access

Share Class: Institutional

Management Fee: 0.30%

Distribution and/or service 12b-1 Expenses: \$20 on distributions made via check or mail

Other Fees and Expenses: Investment Fiduciary: Advisor Servicing (Optional)

Loans: Yes

Loan Fixed Dollar Fee: \$50

Loan Interest Rate: Prime -1% on day prior to loan's approval

Who Keeps the Interest? **Client**

Other Transaction Fees: Mailing, Wire Fee, \$50/ fund in kind transfer fee

For more information, visit www.tasbo.org.

Note: The information in this document is based off a self-reported questionnaire submitted by the vendor.

Data as of 12/18/2020

PlanMember Securities Corporation

For more than 25 years PlanMember has served clients in public education, mission-driven organizations and individual investor relationships. Over 200,000 retirement investors rely on PlanMember for their investment opportunities and access to products and services that many individual investors may not have.

Contact Information

- **Phone:**
800-874-6910
- **Enrollment Website:**
www.planmember.com/enrollnow

Highlights

- Product Types: **Mutual Funds Platform, Fixed Annuities**
- 403(b) Assets Under Management: **\$2B-\$4B**
- PlanMember has **agreed** to offer and sell only products that met former Teacher Retirement System of Texas (TRS) Requirements as of 9/1/2019.
- Licensed by the Texas Department of Insurance (TDI)
- Enrollment Options: **Online, Phone, In-person**

Product Information

- Mutual Funds- Platform Access

Share Class: Institutional

Management Fees: Varies by Product

Other Fees and Expenses: \$50 Annual Fee, 0.35% Custodial Fee

Loans: Yes

Loan Fixed Dollar Fee: \$100 Loan Set-Up per Loan

Loan Interest Rate: 3.00%

Who keeps the interest? **Client**

Other Transaction Fees: TPA or Exchange, Wire, Mailing

Account research fee: \$25 for requests that include pre-2007 account data. Insufficient funds fee (loan payments or periodic investments): \$35. Stop payment due to insufficient funds: \$50. Signature guarantee fee: \$25. Paper deliver of trade confirmations: \$1.00 for each trade confirmation. Paper delivery of quarterly account statements: \$2.50 for each account statement.

- Fixed Annuities

Surrender Charge: \$95 account termination fee

Loans: Yes

Loan Fixed Dollar Fee: \$100 Loan Processing Fee

Loan Interest Rate: 2-4%

Fixed Current Interest Rate: 1.85%

Guaranteed Interest Rate: 1% Minimum

Other Transaction Fees: Wire Fee, Mailing, \$35 Notification of Change (NOC) or insufficient fund fees for loan repayments

For more information, visit www.tasbo.org.



TCG Administrators

TCG offers financial wellness solutions including retirement planning, third party administration, consulting, and more. Managing over \$4.6 billion in assets, TCG serves over 750,000 individuals across hundreds of plans in the education, local government, and small-to-medium business segments.

Contact Information

- **Phone:**
800-943-9179
- **Enrollment Website:**
www.financialpathway403b.com

Highlights

- Product Types: **Mutual Funds**
- 403(b) Assets Under Management: **<\$2B**
- TCG has **agreed** to offer and sell only products that met former Teacher Retirement System of Texas (TRS) Requirements as of 9/1/2019.
- Licensed by the Texas Department of Insurance (TDI)
- Enrollment Options: **Online, Phone, Representative**

Product Information

- Mutual Funds- Direct Access

Management Fees: \$45 and 0.30% Annually

Distribution and/or service 12b-1 Expenses: \$25 Fixed Fee

Other Fees: 0.10% Custodial Fee

Loans: Yes

Loan Fixed Dollar Fee: \$25

Who keeps the interest? **Client**

Other Transaction Fees: Wire Fee

- Mutual Funds- Platform Access

Management Fees: \$45 and 0.30% Annually

Distribution and/or service 12b-1 Expenses: \$25 Fixed Fee

Other Fees: 0.10% Custodial Fee

Loans: Yes

Loan Fixed Dollar Fee: \$25

Who keeps the interest? **Client**

Other Transaction Fees: Wire Fee

For more information, visit www.tasbo.org.

Note: The information in this document is based off a self-reported questionnaire submitted by the vendor.

Data as of 1/13/2021

Vanguard

Vanguard is one of the world’s largest investment management companies with 19 locations worldwide and a staff of around 17,300 members. The Vanguard Group, Inc is an American registered investment advisor based in Pennsylvania with about \$6.2 trillion in global assets under management.

Contact Information

- **Phone:**
800-569-4903
- **Enrollment Website:**
www.vanguard403bservices.com

Highlights

- Product Types: **Mutual Funds**
- 403(b) Assets Under Management: **>\$10B**
- Vanguard has **agreed** to offer and sell only products that met former Teacher Retirement System of Texas (TRS) Requirements as of 9/1/2019.
- Licensed by the Texas Department of Insurance (TDI)
- Enrollment Options: **Online**

Product Information

- Mutual Funds- Direct Access

Management Fees: \$5 Recordkeeping Fee per month per participant

Other Fees: 0.15% Average Expense Ratio

Loans: Yes

Loan Fixed Dollar Fee: \$50 loan processing and establishment setup fee, \$25 per-year loan maintenance fee, and \$20 overnight check fee

For more information, visit www.tasbo.org.

Note: The information in this document is based off a self-reported questionnaire submitted by the vendor.

Data as of 12/31/2020